



LIETUVOS BANKAS

LIETUVOS RESPUBLIKOS CENTRINIS BANKAS

LICENCIJA

**Category A financial brokerage
firm licence** No. A106 Date

Company Information

Title UAB FMĮ „Orion securities”
Company code 122033915

Decision maker
The Securities
Commission of the
Republic of Lithuania

Activity	Date	Decision No.	Issuer
Provision of investment advice	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Underwriting of financial instruments and (or) placing of financial instruments on a firm commitment basis	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Dealing on own account	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Execution of orders on behalf of clients	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Reception and transmission of orders	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Management of portfolio of financial instruments	2007-09-06	2K-286	The Securities Commission of the Republic of Lithuania
Placing of financial instruments without a firm commitment basis	2007-09-06	2K-286	The Securities Commission of

			the Republic of Lithuania
Granting of credits or loans to an investor to allow him to carry out a transaction in one or more financial instruments, where the firm granting the credit or loan is involved in the transaction	2018-12-10	241-270	Executive Director of Supervision Service
Services related to underwriting	2018-12-10	241-270	Executive Director of Supervision Service
Investment research and financial analysis or other forms of general recommendation relating to transactions in financial instruments	2018-12-10	241-270	Executive Director of Supervision Service
Foreign currency exchange services, when they are related to provision of investment services	2018-12-10	241-270	Executive Director of Supervision Service
Safekeeping, administration and management of financial instruments on the clients' behalf, including safekeeping of assets and other related services, such as management of cash or collateral, excluding securities accounts management at the highest level, according to Chapter VI of Republic of Lithuania Law on Securities Market	2018-12-10	241-270	Executive Director of Supervision Service
Advice to undertakings on capital structure, industrial strategy and related matters and advice and services relating to mergers and the purchase of undertakings	2018-12-10	241-270	Executive Director of Supervision Service
Investment services, investment activities and additional services, related to financial instruments, assets or other objects that are linked to financial derivatives, indicated in Article 3(15)(5)(6)(7) and (10) of Republic of Lithuania Law on Securities Market, provided that investment and additional services and investment activities performed are related to these financial derivatives	2018-12-10	241-270	Executive Director of Supervision Service

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